

Court File No: 1:21-cv-00761

**UNITED STATES DISTRICT COURT FOR THE SOUTHERN  
DISTRICT OF NEW YORK**

**B E T W E E N:**

**HAARINGTON GLOBAL OPPORTUNITY FUND, LIMITED**

**Plaintiff**

**-and-**

**CIBC WORLD MARKETS CORP., CIBC WORLD MARKETS INC, BANK OF  
AMERICA SECURITIES, INC., MERRIL LYNCH CANADA INC., MERRIL LYNCH  
PROFESSIONAL CLEARING CORP., TD SECURITIES, INC., TD  
SECURITIES(USA) LLC, CORMARK SECURITIES, INC., UBS FINANCIAL  
SERVICES, INC., UBS SECURITIES CANADA, INC., SOCIETE GENERAL  
CAPITALE CANADA, INC., SG AMERICAS SECURITIES, LLC, AND JOHN DOE'S 1  
THROUGH 10**

**Defendants**

**AFFIDAVIT OF SERVICE**

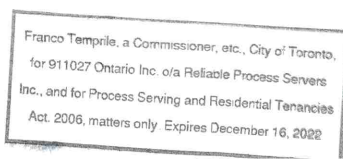
I, Michael Sudiactal, Process Server, of the City of Toronto, in the Province of Ontario **MAKE  
OATH AND SAY AS FOLLOWS:**

3. On February 4, 2021, at 10:06 a.m., I served UBS Securities Canada, Inc. with a copy of the Complaint Jury Trial Demand, Summons, Civil Cover Sheet, and Rule 7.1 Statement by leaving a copy with Shwetha Venkataramaiah, a person who appeared to be in control or management of the business located at 154 University Avenue, Toronto, Ontario.
4. Shwetha Venkataramaiah identified herself to me.

**SWORN** Before me at the City of )  
Toronto, in the Province of Ontario )  
This 19<sup>th</sup> day of February 2021 )

Michael Sudiactal

A Commissioner of Oaths



AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

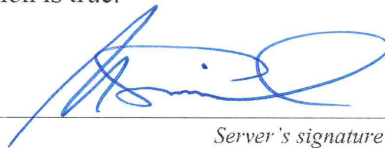
Civil Action No. 1:21-cv-00761**PROOF OF SERVICE***(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for (name of individual and title, if any) UBS Securities Canada, Inc.  
was received by me on (date) February 3, 2021

- ☐ I personally served the summons on the individual at (place) \_\_\_\_\_  
on (date) \_\_\_\_\_; or
- ☐ I left the summons at the individual's residence or usual place of abode with (name) \_\_\_\_\_  
\_\_\_\_\_, a person of suitable age and discretion who resides there,  
on (date) \_\_\_\_\_, and mailed a copy to the individual's last known address; or
- ☒ I served the summons on (name of individual) Shwetha Venkataramaiah, who is  
designated by law to accept service of process on behalf of (name of organization) UBS Securities  
Canada Inc on (date) Feb 4, 2021; or
- ☐ I returned the summons unexecuted because \_\_\_\_\_; or
- ☐ Other (specify): \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: Feb 4, 2021  
\_\_\_\_\_  
Server's signature

MICHAEL SUDIAGAL - Process Server  
\_\_\_\_\_  
Printed name and title

21 Nelson St. Suite 515  
TORONTO, ONTARIO, CANADA  
M5V 3H9  
\_\_\_\_\_  
Server's address

Additional information regarding attempted service, etc:

**RIDER TO SUMMONS -DEFENDANTS' NAMES AND ADDRESSES**

CIBC World Markets Corp., 425 Lexington Ave Ste C2, New York, NY 10017.

CIBC World Markets Inc., 161 Bay Street, Toronto, Ontario, M5J 2S8.

Bank of America Securities, Inc., One Bryant Park, New York, NY.

Merrill Lynch Canada Inc., 181 Bay St 4, Toronto, Ontario, M5J 2T3.

Merrill Lynch Professional Clearing Corp., 222 Broadway, 6th Floor, New York, NY.

TD Securities, Inc., 66 Wellington Street West Toronto, Ontario, Canada.

TD Securities (USA) LLC, 31 W 52nd St, New York, NY 10019.

Cormark Securities, Inc., 200 Bay Street, Suite 2800, Toronto, Ontario, Canada.

UBS Financial Services, Inc., 1285 Avenue of the Americas, New York, NY.

UBS Securities Canada, Inc., 154 University Avenue Toronto, Ontario, Canada.

Societe Generale Capitale Canada, Inc., 130 King Street West, Suite 2200, Toronto, Ontario, Canada.

SG Americas Securities, LLC, 245 Park Avenue, New York, NY; and

John Does 1 through 10 ("John Doe-Canada," "John Doe-U.S.").